



FEDERAL FUNDS

DEPARTMENT OF HUMAN SERVICES

SOCIAL SERVICES APPROPRIATIONS SUBCOMMITTEE
STAFF: CLARE TOBIN LENCE

ISSUE BRIEF

SUMMARY

Utah Code Annotated (UCA) [63J-5-201](#) states, “the Legislative Fiscal Analyst shall submit a federal funds request summary for each agency to the legislative appropriations subcommittee responsible for that agency’s budget for review during each annual general session.” Each subcommittee determines whether or not to recommend that “the agency accept the federal funds or participate in the federal program for the fiscal year under consideration.” The Executive Appropriations Committee then makes their determination and directs the Office of the Legislative Fiscal Analyst to include approved federal funds in an appropriations act.

The Department of Human Services (DHS) has requested an increase in federal funds of \$25,840,700 for FY 2021 and total federal funds of \$162,618,100 for FY 2022.

Not included here for review and specific authorization are federal Medicaid funds shown in the DHS budget as Transfers. These funds are exempt from this specific review process as specified in UCA [63J-5-103\(2\)\(a\)](#). For DHS, federal Medicaid funds are first received by the Department of Health, the designated state agency for the Medicaid program, and subsequently transferred to DHS. The DHS FY 2022 budget request includes \$296,192,200 in transfers related to Medicaid. Of this amount, \$288,502,000 -- or 97.4 percent -- is in the Division of Services for People with Disabilities.

This brief includes:

1. Total amounts requested for FY 2021 supplemental and FY 2022 by line item - Page 2
2. Specific grants requested for FY 2021 supplemental and FY 2022 by line item - Pages 3-10
3. Federal reserves over three months for FY 2021 - Pages 11-14
4. Federal block grant uses and how block grant funding is approved - Pages 15-19
5. Interim approval process for federal grants - Page 20

LEGISLATIVE ACTION

1. The Office of the Legislative Fiscal Analyst recommends the subcommittee consider whether to authorize the Department of Human Services to accept the federal funds shown on pages 3-10.

Subcommittee Options for Federal Grants

Per UCA 63J-5-201, the subcommittee has the following three options:

1. Recommend that the agency accept the federal funds or participate in the federal program for the fiscal year under consideration.
2. Recommend that the agency not accept the federal funds or participate in the federal program for the fiscal year under consideration.
3. Direct the agency to accept some, but not all of the federal funds listed below.

FY 2021 SUPPLEMENTAL REQUEST OVERVIEW

The department is requesting increased federal grant authorization of \$25,840,700 for FY 2021, over the \$157,277,700 ongoing that was approved during the 2020 General Session. This is an increase of 16.4 percent.

When combined with estimated Medicaid transfers of \$291,260,500, total federal funds would be about half of the DHS FY 2021 budget.

Federal Funds Request Summary for State FY 2021

July 1, 2020 through June 30, 2021

Division			Federal Annual Award	Annual Match Requirement			% Pass-Through	New Perman- ent Staff	
				Matching State Funds					Local/Other
				General Fund	Maint. Of Effort	Total State Match			
Executive Director's Office			\$1,497,500	\$96,200	\$0	\$96,200	\$377,467	0%	0.00
Substance Abuse and Mental Health			\$13,068,300	\$0	\$80,883,800	\$80,883,800	\$117,700	68%	0.00
Office of Recovery Services			\$3,138,200	\$0	\$0	\$0	\$0	0%	0.00
Child and Family Services			\$8,011,700	\$836,100	\$0	\$836,100	\$0	4%	0.00
Aging and Adult Services			\$125,000	\$0	\$0	\$0	\$0	0%	0.00
HUMAN SERVICES TOTALS									
State FY 2021			\$25,840,700	\$932,300	\$80,883,800	\$81,816,100	\$495,167	35%	0.00

FY 2022 REQUEST OVERVIEW

The department is requesting federal grant authorization totaling \$162,618,100 for FY 2022. This amount is \$5,340,400 over the \$157,277,700 that was approved during the 2020 General Session for FY 2021, an increase of 3.4 percent.

When combined with budgeted Medicaid transfers of \$296,192,200, total federal funds would be about half of the DHS FY 2022 budget.

Federal Funds Request Summary for State FY 2022

July 1, 2021 through June 30, 2022

Division			Federal Annual Award	Annual Match Requirement			% Pass-Through	New Perman- ent Staff	
				Matching State Funds		Local/Other			
				General Fund	Maint. Of Effort				Total State Match
Executive Director's Office			\$10,653,900	\$1,115,300	\$0	\$1,115,300	\$920,267	0%	0.00
Substance Abuse and Mental Health			\$35,362,600	\$0	\$80,883,800	\$80,934,200	\$380,400	31%	0.00
Office of Public Guardian			\$40,000	\$0	\$0	\$0			
Services for People with Disabilities			\$1,141,100	\$0	\$0	\$0	\$0	0%	0.00
Office of Recovery Services			\$23,589,000	\$9,533,100	\$0	\$9,533,100	\$0	0%	0.00
Child and Family Services			\$73,827,800	\$33,904,400	\$0	\$33,904,400	\$0	2%	0.00
Aging and Adult Services			\$18,003,700	\$0	\$696,080	\$696,080	\$1,452,006	90%	0.00
HUMAN SERVICES TOTALS (no JJS)									
State FY 2022			\$162,618,100	\$44,552,800	\$81,579,880	\$126,183,080	\$2,752,673	18%	0.00

Federal Funds Request Summary for State FY 2021

July 1, 2020 through June 30, 2021

Grant Title	Federal Annual Award	Annual Match Requirement				% Pass-Through	New Permanent Staff
		Matching State Funds			Local/Other		
		General Fund	Maint. Of Effort	Total State Match			

Executive Director's Office

Summary: The request for FY 2021 is a 18.2% percent increase over the amount initially appropriated for FY 2021. There is one new grant requested for FY 2021 (highlighted in peach).

System of Care Expansion and Sustainability	\$1,132,400	\$0	\$0	\$0	\$377,467	0%	0.00
Federal DHS Title IV-B Child Welfare	\$260,000	\$86,700	\$0	\$86,700	\$0	0%	0.00
Federal DHS Title IV-E Foster Care	\$2,200	\$2,200	\$0	\$2,200	\$0	0%	0.00
Federal DHS Dev Disability Grants	\$28,100	\$0	\$0	\$0	\$0	0%	0.00
Title XX Local Discretionary Social Services Block Grant	\$67,500	\$0	\$0	\$0	\$0	0%	0.00
Federal DHS Title IV-E Adoptions	\$7,300	\$7,300	\$0	\$7,300	\$0	0%	0.00
Division/Program Totals	\$1,497,500	\$96,200	\$0	\$96,200	\$377,467	0%	0.00

Substance Abuse and Mental Health

Summary: The request for FY 2021 is a 30.9% percent increase over the amount initially appropriated for FY 2021. There are three new grants requested for FY 2021 (highlighted in peach).

Behavioral Health Workforce Education & Training	\$234,000	\$0	\$0	\$0	\$0	87%	0.00
State Youth Treatment Implementation	\$126,200	\$0	\$0	\$0	\$0	74%	0.00
Utah Zero Suicide Project	\$67,900	\$0	\$0	\$0	\$0	76%	0.00
COVID-19 Emergency Response Suicide Prevention	\$564,700	\$0	\$0	\$0	\$0	85%	0.00
Utah Emergency COVID-19 Program	\$78,000	\$0	\$0	\$0	\$0	90%	0.00
Mental Health Block Grant	\$1,087,800	\$0	\$51,590,700	\$51,590,700	\$0	82%	0.00
Substance Abuse Prevention & Treatment	\$620,300	\$0	\$29,293,100	\$29,293,100	\$0	89%	0.00

Grant Title	Federal Annual Award	Annual Match Requirement				% Pass-Through	New Permanent Staff
		Matching State Funds			Local/Other		
		General Fund	Maint. Of Effort	Total State Match			
Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	\$209,200	\$0	\$0	\$0	\$0	92%	0.00
Youth & Young Adults at Clinical High Risk for Psychosis (CHR-P)	\$187,100	\$0	\$0	\$0	\$62,400	83%	0.00
Youth Empowered Solutions to Succeed (YESS) - Healthy Transitions	\$332,200	\$0	\$0	\$0	\$0	66%	0.00
Strategic Prevention Framework - Prescription Drugs (SPF-Rx)	\$38,800	\$0	\$0	\$0	\$0	85%	0.00
State Opioid Response Grant	\$4,849,600	\$0	\$0	\$0	\$0	94%	0.00
Utah Promoting Integration of Primary and Behavioral Health Care (U-PIPBHC)	\$1,279,200	\$0	\$0	\$0	\$0	92%	0.00
Adult Drug Court Discretionary Programs	\$165,900	\$0	\$0	\$0	\$55,300	91%	0.00
Crisis Counseling Assistance & Training (Utah Strong Project) - Regular Services Program	\$3,227,400	\$0	\$0	\$0	\$0	0%	0.00
Division/Program Totals	\$13,068,300	\$0	\$80,883,800	\$80,883,800	\$117,700	68%	0.00

Office of Recovery Services

Summary: The request for FY 2021 is a 12.8% percent increase over the amount initially appropriated for FY 2021. There are no new grants requested for FY 2021.

Title IV-D Child Support Enforcement Incentive	\$3,138,200	\$0	\$0	\$0	\$0	0%	0.00
Division/Program Totals	\$3,138,200	\$0	\$0	\$0	\$0	0%	0.00

Child and Family Services

Summary: The request for FY 2021 is a 11.9% percent increase over the amount initially appropriated for FY 2021. There are two new grants requested for FY 2021 (highlighted in peach).

Title XX Social Services Block Grant	\$2,834,300	\$0	\$0	\$0	\$0	0%	0.00
Title IV-E Prevention Services	\$749,400	\$0	\$0	\$0	\$0	0%	0.00
Title IV-E AFDC Foster Care	\$389,400	\$306,000	\$0	\$306,000	\$0	0%	0.00
Independent Living Program	\$29,100	\$7,300	\$0	\$7,300	\$0	0%	0.00

Grant Title	Federal Annual Award	Annual Match Requirement				% Pass-Through	New Permanent Staff
		Matching State Funds			Local/Other		
		General Fund	Maint. Of Effort	Total State Match			
Title IV-E AFDC Adoption Assistance	\$1,000,000	\$492,500	\$0	\$492,500	\$0	0%	0.00
Education & Training Voucher	\$121,300	\$30,300	\$0	\$30,300	\$0	0%	0.00
Child Abuse Prevention Treatment Act	\$97,200	\$0	\$0	\$0	\$0	0%	0.00
Adoption Incentive Grant	\$144,200	\$0	\$0	\$0	\$0	0%	0.00
FVPSA CARES Act Funds	\$332,100	\$0	\$0	\$0	\$0	100%	0.00
IV-B Pt 1 COVID-19 Funds	\$631,800	\$0	\$0	\$0	\$0	0%	0.00
Family First Transition Act Grant	\$1,377,900	\$0	\$0	\$0	\$0	0%	0.00
Kinship Navigator	\$305,000	\$0	\$0	\$0	\$0	0%	0.00
Division/Program Totals	\$8,011,700	\$836,100	\$0	\$836,100	\$0	4%	0.00

Aging and Adult Services

Summary: The request for FY 2021 is a 0.9% percent increase over the amount initially appropriated for FY 2021. There is one new grant requested for FY 2021 (highlighted in peach).

Enhance Services for Older Victims of Abuse and Financial Exploitation (OVA)	\$125,000	\$0	\$0	\$0	\$0	0%	0.00
Division/Program Totals	\$125,000	\$0	\$0	\$0	\$0	0%	0.00

HUMAN SERVICES TOTALS

State FY 2021	\$25,840,700	\$932,300	\$80,883,800	\$81,816,100	\$495,167	35%	0.00
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Federal Funds Request Summary for State FY 2022

July 1, 2021 through June 30, 2022

Grant Title	Federal Annual Award	Annual Match Requirement				% Pass-Through	New Permanent Staff
		Matching State Funds			Local/Other		
		General Fund	Maint. Of Effort	Total State Match			

Executive Director's Office

Summary: The request for FY 2022 is a 29.3% percent increase from the amount initially appropriated for FY 2021. There is one new grant requested for FY 2022 (highlighted in peach).

Federal DHS Dev Disability Grants	\$666,300	\$0	\$0	\$0	\$0	0%	0.00
Opioid Crisis Grants	\$8,900	\$0	\$0	\$0	\$0	0%	0.00
Adult Drug Court Discretion Program	\$200	\$0	\$0	\$0	\$0	0%	0.00
Substance Abuse Prevention Treatment Block Grant	\$7,100	\$0	\$0	\$0	\$0	0%	0.00
Mental Health Homeless Block Grant	\$100	\$0	\$0	\$0	\$0	0%	0.00
UT Supported Employment Transformation Project	\$500	\$0	\$0	\$0	\$0	0%	0.00
Strategic Prevention Framework Partnerships for Success	\$4,900	\$0	\$0	\$0	\$0	0%	0.00
Federal DHS Title IV-B Child Welfare	\$260,000	\$86,700	\$0	\$86,700	\$0	0%	0.00
Federal DHS Title IV-D Child Support	\$462,500	\$231,300	\$0	\$231,300	\$0	0%	0.00
Federal DHS Title IV-E Foster Care	\$757,300	\$757,300	\$0	\$757,300	\$0	0%	0.00
Federal DHS Title IV-E Adoptions	\$40,000	\$40,000	\$0	\$40,000	\$0	0%	0.00
Title XX Local Discretionary Social Services Block Grant	\$1,140,700	\$0	\$0	\$0	\$0	0%	0.00
Title XX Social Services Block Grant	\$4,534,600	\$0	\$0	\$0	\$0	0%	0.00
Community Mental Health Services Block Grant	\$2,000	\$0	\$0	\$0	\$0	0%	0.00
Progressive Adulthood Grant	\$1,100	\$0	\$0	\$0	\$0	0%	0.00
Other Grants	\$6,900	\$0	\$0	\$0	\$0	0%	0.00
System of Care Expansion and Sustainability	\$2,760,800	\$0	\$0	\$0	\$920,267	0%	0.00
Division/Program Totals	\$10,653,900	\$1,115,300	\$0	\$1,115,300	\$920,267	0%	0.00

Grant Title	Federal Annual Award	Annual Match Requirement				% Pass-Through	New Permanent Staff
		Matching State Funds			Local/Other		
		General Fund	Maint. Of Effort	Total State Match			

Substance Abuse and Mental Health

Summary: The request for FY 2022 is a 16.4% percent decrease from the amount initially appropriated for FY 2021. There are two new grants requested for FY 2022 (highlighted in peach).

Mental Health Block Grant	\$6,200,000	\$0	\$51,590,700	\$51,590,700	\$0	0%	0.00
Substance Abuse Prevention & Treatment	\$16,894,000	\$0	\$29,293,100	\$29,293,100	\$0	0%	0.00
Projects for Assistance in Transition from Homelessness (PATH)	\$591,300	\$0	\$0	\$0	\$197,100	97%	0.00
State Youth Treatment Implementation	\$800,000	\$0	\$0	\$0	\$0	74%	0.00
Strategic Prevention Framework - Prescription Drugs (SPF-Rx)	\$61,900	\$0	\$0	\$0	\$0	85%	0.00
Utah Zero Suicide Project	\$117,800	\$0	\$0	\$50,400	\$0	76%	0.00
Behavioral Health Workforce Education & Training	\$288,600	\$0	\$0	\$0	\$0	88%	0.00
Adult Drug Court Discretionary Programs	\$500,000	\$0	\$0	\$0	\$150,000	91%	0.00
State Opioid Response Grant	\$6,251,400	\$0	\$0	\$0	\$0	93%	0.00
Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	\$565,000	\$0	\$0	\$0	\$0	92%	0.00
Youth & Young Adults at Clinical High Risk for Psychosis (CHR-P)	\$100,000	\$0	\$0	\$0	\$33,300	83%	0.00
Utah Promoting Integration of Primary and Behavioral Health Care (U-PIPBHC)	\$1,000,000	\$0	\$0	\$0	\$0	92%	0.00
Youth Empowered Solutions to Succeed (YESS) - Healthy Transitions	\$750,000	\$0	\$0	\$0	\$0	66%	0.00
Utah Youth Hope Project	\$736,000	\$0	\$0	\$0	\$0	84%	0.00
COVID-19 Emergency Response Suicide Prevention	\$235,300	\$0	\$0	\$0	\$0	85%	0.00

Grant Title	Federal Annual Award	Annual Match Requirement				% Pass-Through	New Permanent Staff
		Matching State Funds			Local/Other		
		General Fund	Maint. Of Effort	Total State Match			
Utah Emergency COVID-19 Program	\$271,300	\$0	\$0	\$0	\$0	90%	0.00
Division/Program Totals	\$35,362,600	\$0	\$80,883,800	\$80,934,200	\$380,400	31%	0.00

Office of Public Guardian

Summary: The request for FY 2022 is a 0% percent increase from the amount initially appropriated for FY 2021. There are no new grants requested for FY 2022.

Title XX Social Services Block Grant	\$40,000	\$0	\$0	\$0	\$0	100%	0.00
Division/Program Totals	\$40,000	\$0	\$0	\$0			

Services for People with Disabilities

Summary: The request for FY 2022 is a 0% percent increase from the amount initially appropriated for FY 2021. There are no new grants requested for FY 2022.

Social Services Block Grant	\$943,100	\$0	\$0	\$0	\$0	0%	0.00
Improving State-Level Prevention, Training, and Service Response for Female Victims with Disabilities	\$198,000	\$0	\$0	\$0	\$0	0%	0.00
Division/Program Totals	\$1,141,100	\$0	\$0	\$0	\$0	0%	0.00

Office of Recovery Services

Summary: The request for FY 2022 is a 3.5% percent decrease from the amount initially appropriated for FY 2021. There are no new grants requested for FY 2022.

Title IV-D Child Support Enforcement	\$18,505,500	\$9,533,100	\$0	\$9,533,100	\$0	0%	0.00
Title IV-D Child Support Enforcement Incentive	\$5,083,500	\$0	\$0	\$0	\$0	0%	0.00
Division/Program Totals	\$23,589,000	\$9,533,100	\$0	\$9,533,100	\$0	0%	0.00

Child and Family Services

Summary: The request for FY 2022 is a 9.7% percent increase from the amount initially appropriated for FY 2021. There are no new grants requested for FY 2022.

Title XX Social Services Block Grant	\$8,625,200	\$0	\$0	\$0	\$0	0%	0.00
Title IV-B Child Welfare Services	\$4,053,500	\$1,351,200	\$0	\$1,351,200	\$0	0%	0.00
Title IV-E Prevention Services	\$949,600	\$949,600	\$0	\$949,600	\$0	0%	0.00
Title IV-E AFDC Foster Care	\$30,808,600	\$24,206,800	\$0	\$24,206,800	\$0	0%	0.00
Community Based Child Abuse Prevention	\$522,100	\$130,500	\$0	\$130,500	\$0	0%	0.00
Independent Living Program	\$862,600	\$215,700	\$0	\$215,700	\$0	0%	0.00
Title IV-E AFDC Adoption Assistance	\$13,099,700	\$6,452,100	\$0	\$6,452,100	\$0	0%	0.00

Grant Title	Federal Annual Award	Annual Match Requirement				% Pass-Through	New Permanent Staff
		Matching State Funds			Local/Other		
		General Fund	Maint. Of Effort	Total State Match			
Education & Training Voucher	\$401,100	\$100,300	\$0	\$100,300	\$0	0%	0.00
Family Violence Prevention Services Act	\$1,357,800	\$0	\$0	\$0	\$0	100%	0.00
Title XX TANF	\$8,221,500	\$0	\$0	\$0	\$0	0%	0.00
Child Abuse Prevention Treatment Act	\$1,071,600	\$0	\$0	\$0	\$0	0%	0.00
Adoption Incentive Grant	\$677,100	\$0	\$0	\$0	\$0	0%	0.00
Promoting Safe and Stable Families	\$1,387,400	\$462,500	\$0	\$462,500	\$0	0%	0.00
Promoting Safe and Stable Families - Caseworker Visits	\$107,100	\$35,700	\$0	\$35,700	\$0	0%	0.00
Family First Transition Act Grant	\$1,377,900	\$0	\$0	\$0	\$0	0%	0.00
Kinship Navigator	\$305,000	\$0	\$0	\$0	\$0	0%	0.00
Division/Program Totals	\$73,827,800	\$33,904,400	\$0	\$33,904,400	\$0	2%	0.00

Aging and Adult Services

Summary: The request for FY 2022 is a 30.5% percent increase from the amount initially appropriated for FY 2021. There are six new grants requested for FY 2021 (highlighted in peach).

Title XX Social Services Block Grant	\$1,049,700	\$0	\$0	\$0	\$0	100%	0.00
Title III B Supportive Services	\$2,856,400	\$0	\$184,360	\$184,360	\$368,940	96%	0.00
Title III C-1 Congregate Meals	\$4,706,200	\$0	\$231,441	\$231,441	\$463,159	92%	0.00
Title III C-2 Home Delivered Meals	\$4,231,100	\$0	\$177,187	\$177,187	\$354,588	98%	0.00
Title III D Preventative Health	\$150,000	\$0	\$0	\$0	\$0	100%	0.00
Title III E National Family Caregiver Support	\$1,291,000	\$0	\$103,092	\$103,092	\$206,308	100%	0.00
Title V Senior Community Service Employment Program	\$531,100	\$0	\$0	\$0	\$59,011	96%	0.00
Title VII Elder Abuse Prevention	\$25,000	\$0	\$0	\$0	\$0	100%	0.00
Title VII Ombudsman Services	\$199,200	\$0	\$0	\$0	\$0	85%	0.00
Nutrition Services Incentive Program	\$1,400,000	\$0	\$0	\$0	\$0	100%	0.00
Families First Coronavirus Response - Congregate Meals (1X)	\$28,400	\$0	\$0	\$0	\$0	0%	0.00

Grant Title	Federal Annual Award	Annual Match Requirement				% Pass-Through	New Permanent Staff
		Matching State Funds			Local/Other		
		General Fund	Maint. Of Effort	Total State Match			
CARES - Support Services (1X)	\$38,200	\$0	\$0	\$0	\$0	0%	0.00
CARES - Home Delivered Meals (1X)	\$364,900	\$0	\$0	\$0	\$0	0%	0.00
CARES - Family Caregiver Support (1X)	\$9,000	\$0	\$0	\$0	\$0	0%	0.00
CARES - Ombudsman Services (1X)	\$800	\$0	\$0	\$0	\$0	0%	0.00
State Health Insurance Information Program	\$230,000	\$0	\$0	\$0	\$0	57%	0.00
Medicare Improvement for Patients & Providers Act	\$155,000	\$0	\$0	\$0	\$0	100%	0.00
Senior Medicare Patrol	\$185,000	\$0	\$0	\$0	\$0	73%	0.00
Transforming America's Response to Elder Abuse (MDT)	\$125,000	\$0	\$0	\$0	\$0	0%	0.00
State Grants to Enhance Adult Protective Services (FEP)	\$260,700	\$0	\$0	\$0	\$0	0%	0.00
Enhance Services for Older Victims of Abuse and Financial Exploitation (OVA)	\$167,000	\$0	\$0	\$0	\$0	0%	0.00
Division/Program Totals	\$18,003,700	\$0	\$696,080	\$696,080	\$1,452,006	90%	0.00

HUMAN SERVICES TOTALS (no JJS)

State FY 2022	\$162,618,100	\$44,552,800	\$81,579,880	\$126,183,080	\$2,752,673	18%	0.00
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Federal Grants \$200,000 or Greater - Amount of Anticipated Reserves

Department of Human Services

Division	Federal Grant Name	CFDA #	Cumulative Grant Authority through 9/30/20	Estimate of Additional Grant Monies to be Received 9/30/20 to 6/30/21	Estimated Authority through SFY 2021	Amount in SFY 2021 Budget Request	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
DSPD	Improving State-Level Prevention, Training, and Service Response for Female Victims with Disabilities	16.529	288,100	25,000	313,100	198,000	115,100	6	Multi-Year funding to 9/30/2021. Reserve is to fund the remaining grant period.
EDO, DSAMH	Adult Drug Court Discretionary Programs	16.585	290,900	500,000	790,900	666,100	124,800	2	
DAAS	Title V Senior Community Service Employment Program	17.235	535,100	0	535,100	531,300	3,800	0	
DAAS	Title VII Ombudsman Services	93.042	120,700	110,400	231,100	200,000	31,100	1	
DAAS	Title III B Supportive Services	93.044	1,986,200	2,400,000	4,386,200	2,894,600	1,491,600	6	One time CARES funding of \$1,300,200 had to be drawn down first before the regular base grant was accessed. This caused for a delay in coding to the regular base grant. This grant is a multi-year grant.
DAAS	Title III C-1 Congregate Meals	93.045	2,529,200	2,900,000	5,429,200	4,734,600	694,600	1	
DAAS	Title III C-2 Home Delivered Meals	93.045	3,782,100	1,800,000	5,582,100	4,596,000	986,100	2	
DAAS	Senior Medicare Patrol	93.048	203,800	24,700	228,500	185,000	43,500	2	
DAAS	Title III E National Family Caregiver Support	93.052	1,429,900	1,100,000	2,529,900	1,300,000	1,229,900	11	One time CARES funding of \$622,800 had to be drawn down first before the regular base grant was accessed. This caused for a delay in coding to the regular base grant. This grant is a multi-year grant.
DAAS	Nutrition Services Incentive Program	93.053	502,100	1,200,000	1,702,100	1,400,000	302,100	2	
EDO	SOC Expansion and Sustainability	93.104	3,000,000	0	3,000,000	1,850,000	1,150,000	7	Spending for this grant didn't begin until 10/01. It takes time to start the expansion of this program.
DSAMH	Projects for Assistance in Transition from Homelessness (PATH)	93.150	610,700	0	610,700	591,300	19,400	0	
DSAMH	Youth & Young Adults at Clinical High Risk for Psychosis (CHR-P)	93.243	687,100	0	687,100	587,100	100,000	2	
DSAMH	Utah Youth Hope Project	93.243	1,133,700	0	1,133,700	736,000	397,700	6	Carryover dollars were not included in the budget request. They are now expected and are added here. DSAMH anticipates legislative approval to spend these additional funds in FY2021. Funding is within the 125% limit.
DSAMH	UT Transition Youth Empowered to Succeed	93.243	1,082,200	1,000,000	2,082,200	1,332,200	750,000	6	Anticipated award of \$1 million is expected to expire 03/30/2022. Reserve is to fund the FY2022 portion of the program.
DSAMH	Utah Zero Suicide Project	93.243	185,600	0	185,600	538,900	-353,300	-7	

DSAMH	Utah Promoting Integration of Primary and Behavioral Health Care (U-PIPBHC)	93.243	2,279,200	2,000,000	4,279,200	3,279,200	1,000,000	3	
EDO, DSAMH	Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	93.243	3,034,200	0	3,034,200	2,474,100	560,100	2	
DSAMH	State Youth Treatment Implementation	93.243	1,151,200	0	1,151,200	926,200	225,000	2	
DSAMH	Strategic Prevention Framework - Prescription Drugs (SPF-Rx)	93.243	472,400	0	472,400	410,400	62,000	1	
DCFS	Kinship Navigator	93.556	304,900	0	304,900	305,000	-100	0	
DCFS	Family First Transition Act Grant	93.556	6,889,500	0	6,889,500	1,377,900	5,511,600	48	Public Law 116-94 authorized funding from this grant to be eligible until federal fiscal year 2025. Funding was provided to states to support the multi-year effort required to achieve full implementation of provisions of the Family First Prevention Services Act (FFPSA). Funds are for purposes specified in Title IV-B and Title IV-E directly associated with implementing FFPSA. DHS must report how the funds are used to implement each part of the FFPSA, all programs, services, and operational costs paid by the grant, characteristics of families and children served and amounts the agency uses each fiscal year. The law prohibits the agency from using grant amounts as match for federal funds.
DCFS	Promoting Safe and Stable Families	93.556	1,801,000	1,494,200	3,295,200	1,494,500	1,800,700	14	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports effective fiscal and program management, and allows time for contracting. These funds are limited to family preservation, family support, family reunification, and adoption promotion and support services.
DCFS	Community Based Child Abuse Prevention	93.590	885,900	0	885,900	363,800	522,100	17	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are limited to child abuse prevention activities.

DCFS	Education & Training Voucher	93.599	401,100	254,300	655,400	401,100	254,300	7	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are limited for post-secondary education for former foster youth and certain adoptive youth.
DCFS	Adoption Incentive Grant	93.603	1,756,300	402,200	2,158,500	677,100	1,481,400	26	The amount of this funding can vary significantly from year to year. There is not a typical monthly cost for how these funds are used. For more effective fiscal and program management, expenditures of these funds are planned after the federal fiscal year award is received so the amount to be budgeted is known. Funds from each year's grant award are planned to begin to be expended in the State fiscal year immediately following the year in which Federal funds are authorized. The Federal government allows up to 3 years to spend each year's award, so due to uneven award amounts, a portion of funds may be carried into an additional fiscal year to even out budgeting.
EDO, DCFS	Title IV-B Child Welfare Services	93.645	3,493,400	2,850,000	6,343,400	4,945,300	1,398,100	3	
DSAMH	COVID-19 Emergency Response Suicide Prevention	93.665	800,000	0	800,000	564,700	235,300	5	Grant expires 11/30/2021 (Five months is applicable for FY2022).
DSAMH	Utah Emergency COVID-19 Program	93.665	1,899,300	0	1,899,300	1,628,000	271,300	1	
DHS	Title XX, includes local discretionary SSBG	93.667	11,954,100	11,652,800	23,606,900	20,728,600	2,878,300	1	
DCFS	Child Abuse Prevention Treatment Act	93.669	2,260,700	0	2,260,700	615,100	1,645,600	32	Funds from this grant are planned to be expended in the State fiscal year following the year in which Federal funds are authorized. Timing expenditures in this way supports effective fiscal and program management, and allows time for contracting. The federal government allotted time-limited funding increases to be used over four-year periods to help implement new provisions of CAPTA. The division is using part of the increased award to develop cross-agency implementation of the Plan of Safe Care provisions of the law. CAPTA funds are for child protective services system improvements and training.

DCFS	Family Violence Prevention Services Act	93.671	1,689,900	1,357,700	3,047,600	1,689,900	1,357,700	9	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are allocated to domestic violence shelters and victim programs that provide services for the safety of abused parents and their children.
DCFS	Independent Living Program	93.674	877,100	786,800	1,663,900	862,600	801,300	11	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are limited for youth preparing to transition to adult living from foster care.
DSAMH	Behavioral Health Workforce Education & Training	93.732	594,600	0	594,600	306,100	288,500	11	Award of \$288,500 was erroneously included as FY2022 funding in the budget request. Ten (10) months of that funding is applicable to FY2021. DSAMH anticipates legislative approval to spend the FY2021 funds. Funding is within the 125% limit.
DAAS	State Grants to Enhance Adult Protective Services	93.747	403,000	0	403,000	260,700	142,300	6	We are in Year 2 of this grant. We were able to carryforward the unused balance from Year 1, into Year 2 which accounts for the reserve.
DAAS	State Health Insurance Information Program	93.324	299,100	107,900	407,000	230,000	177,000	9	The grant was awarded higher than anticipated. The AAA Contracts will be amended to increase their authorized spending amounts.
EDO, DSAMH	State Opioid Response Grant	93.788	15,014,000	0	15,014,000	12,817,100	2,196,900	2	
EDO	Federal DHS Dev Disability Grants	93.958	898,400	632,000	1,530,400	659,700	870,700	15	This grant is drawn down within federal guidelines. This amount will be carried forward to the next fiscal year.
EDO, DSAMH	Mental Health Block Grant	93.958	7,313,800	6,011,200	13,325,000	7,127,100	6,197,900	10	Multi-Year funding with the additional annual federal authorization expected in the second half of the state fiscal year. Funds have planned uses detailed in the budget approved by the granting authority.
EDO, DSAMH	Substance Abuse Prevention & Treatment	93.959	25,032,500	0	25,032,500	18,410,700	6,621,800	4	Multi-Year funding. Funds have planned uses detailed in the budget approved by the granting authority.
DSAMH	Crisis Counseling Assistance & Training - Regular Services Program	93.982	3,277,700	0	3,277,700	3,227,400	50,300	0	
DSAMH	Assisted Outpatient Treatment	93.997	381,800	0	381,800	356,800	25,000	0	
Totals					152,141,700	108,480,200	43,661,500	4	

FEDERAL BLOCK GRANT USES AND HOW BLOCK GRANT FUNDING IS APPROVED

The following tables show the agency-proposed uses of their three major block grants: 1) Social Services Block Grant; Substance Abuse Prevention and Treatment Block Grant; and the Mental Health Block Grant. The final table indicates the process to approve spending plans for these three block grants.

Social Services Block Grant

	FY20 Actual	FY21 Estimate	FY22 Budget
Executive Director Operations (EDO)			
<i>Executive Director</i>	1,329,200	2,209,100	2,304,600
<i>Legal Affairs</i>	130,100	100,000	86,900
<i>Information Technology</i>	805,100	626,600	549,800
<i>Services Review</i>			
<i>Office of Quality and Design</i>	690,000	867,600	842,700
<i>Office of Licensing</i>	1,010,000	731,300	750,600
EDO Total	\$ 3,964,400	\$ 4,534,600	\$ 4,534,600
Office of Public Guardian (OPG)			
<i>Office of Public Guardian</i>	40,000	40,000	40,000
OPG Total	\$ 40,000	\$ 40,000	\$ 40,000
Division of Services for People with Disabilities (DSPD)			
<i>Administration</i>	-	100,000	100,000
<i>Non Waiver Services</i>	843,100	843,100	843,100
DSPD Total	\$ 843,100	\$ 943,100	\$ 943,100
Division of Child and Family Services (DCFS)			
<i>Administration</i>	-		
<i>Service Delivery</i>	3,179,200	3,179,200	3,179,200
<i>In-Home Services</i>	218,300	223,800	668,300
<i>Out-of-Home Services</i>	509,900	100,000	100,000
<i>Provider Payments</i>		5,369,400	804,900
<i>Facility Based Services</i>	949,200	994,700	994,700
<i>Special Needs</i>	910,700	910,800	910,800
<i>Domestic Violence</i>	1,778,000	1,481,700	1,481,700
<i>Child Welfare MIS</i>	0	485,600	485,600
DCFS Total	\$ 7,545,300	\$ 12,745,200	\$ 8,625,200
Division of Aging and Adult Services (DAAS)			
<i>Aging Alternatives</i>	1,049,700	1,049,700	1,049,700
	\$ 1,049,700	\$ 1,049,700	\$ 1,049,700
DHS Totals without JJS	\$ 13,442,500	\$ 19,312,600	\$ 15,192,600

SAPT Block Grant

	FY20 Actual	FY21 Estimate	FY22 Budget
KBA			
Administration	496,100	876,400	804,500
KBA Total	496,100	876,400	804,500
KBC			
Mental Health Treatment			
Local Authority Peer support	-	35,000	35,000
KBC Total		35,000	35,000
KCC			
Prevention Training Contracts			
Substance Abuse Fall Conference	23,300	-	3,600
Prevention workforce training (SAPST)	93,300	30,000	108,900
Subtotal	116,600	30,000	112,500
Treatment Training Contracts			
Substance Abuse Fall Conference	53,400	-	50,000
EBP Training (Adolescent, Trauma, MRT, ASAM)	160,600	18,800	50,000
Social Research Institute	146,500	120,500	120,500
Conference sponsorships	18,600	18,600	50,000
Subtotal	379,100	157,900	270,500
State Prevention and Early Intervention			
Communities that Care (CTC) Coalitions	201,600	201,600	60,000
Statewide Epidemiological Outcomes Workgroup	-		
SHARP Survey	322,500	200,000	200,000
Prevention of Harm-Naloxone	-	50,000	50,000
Other	-	20,000	75,000
Subtotal	524,100	471,600	385,000
State Treatment and Recovery Supports			
Parolee Access to Recovery	221,900	221,900	205,600
Contract with USARA for Recovery Support Services	102,000	102,000	105,000
Screening Brief Intervention	71,500	71,500	72,400
Other	1,027,900	1,027,900	266,700
Subtotal	1,423,300	1,423,300	649,700
KCC Total	2,443,100	2,082,800	1,417,700
KCD			
Prevention and Early Intervention			
Prevention Pass-through to Local Authorities	4,565,600	4,174,500	4,174,500
Opioid Prevention Grants	-		
Subtotal	4,565,600	4,174,500	4,174,500
Treatment			
General Treatment Pass-through to Local Authorities	7,806,000	7,775,500	7,468,700
Women's Treatment to Local Authorities	2,273,900	2,286,500	1,820,700
Medication-assisted Treatment (MAT)	-		
Subtotal	10,079,900	10,062,000	9,289,400
Recovery Support			
Sober Living	1,172,900	1,172,900	1,172,900
Subtotal	1,172,900	1,172,900	1,172,900
KCD Total	15,818,400	15,409,400	14,636,800
KDB			
Treatment			
Drug Courts - Allocation to Local Substance Abuse Authorities	599,100		
Drug Courts - Certification monitoring			
Other	5,400		
Subtotal	604,500	0	0
KDB Total	604,500	0	0
Budget Prep Total	19,362,100	18,403,600	16,894,000

MHBG Block Grant

Description	FY20 Actual	FY21 Estimate	FY22 Budget
KBA			
Administration	127,400	339,300	295,200
KBA Total	127,400	339,300	295,200
KBC			
Training			
Mental Health Conference/Sponsorships	28,800	93,000	93,000
Training Contracts	4,900	200,000	200,000
Subtotal	33,700	293,000	293,000
Prevention and Early Intervention			
Mental Health Promotion / Mental Illness Prevention Services	224,300	271,800	271,800
Family Resource Facilitators Statewide	154,500	-	239,800
Family Mentors	147,300	316,900	316,900
Statewide Crisis Service	-	140,000	140,000
Federal Early Intervention (5% FY16) (10% FY17)	1,590,400	1,580,400	968,300
Screening for Mental Health	20,000	20,000	20,000
Outcome Improvement Initiative	52,900	-	-
Latino Peer Supports	76,000	25,000	-
Early Childhood initiatives		150,000	100,000
Deaf and Hard of Hearing Services		90,000	90,000
Operation Rio Grande		200,000	-
Emergency Response Services		339,000	-
Other		213,600	102,500
Subtotal	2,265,400	3,346,700	2,249,300
Recovery Support & Treatment			
Consumer Advocate Statewide	90,900	90,000	90,000
Outcome Questionnaire Services	-	77,600	77,600
UBHPAC Expense (Stipends)	23,800	40,000	40,000
JRI Research		-	-
Subtotal	114,700	207,600	207,600
Miscellaneous			
Other Support Costs - Travel, Printing, Websites	549,100	218,700	-
Success Crisis Services	156,000	156,000	-
Employment Resource Campaign	50,000	-	-
Stabilization & Mobile Response	160,000	160,000	-
Psychiatric Consultation	36,900	-	-
Subtotal	952,000	534,700	-
KBC Total	3,365,800	4,382,000	2,749,900
KBD			
Treatment			
Adult Pass-through to Local Authorities	1,497,100	1,706,400	2,257,600
Children Pass-through to Local Authorities	480,400	537,200	887,300
Children's Outplacement Safety Net	0	10,000	10,000
Family Resource Facilitators Statewide	-	150,100	-
Medicaid Ineligible Patients.	94,200	-	-
Subtotal	2,071,700	2,403,700	3,154,900
KBD Total	2,071,700	2,403,700	3,154,900
Budget Prep Total	5,564,900	7,125,000	6,200,000

Federal Block Grant Approval Process

Block Grant	Allowable Uses	Process to Approve Spending Plans	How and Why Have Spending Priorities Changed Over the Last Five Years?
Social Services Block Grant	SSBG is allowed for support and delivery of social services within grant restrictions.	Costs charged to the grant must be allowable. Spending decisions are determined by need, plan, and available resources. The Utah Department of Human Services (Department) budget is approved through the State agency budgeting process. See Title 63J. The budgeting process includes Legislative review, appropriation of funds, and the opportunity for public comment. Department responsibility includes the expectation to administer the Social Services Block Grant (62A-1-114). The Department provides oversight of the grant and Department decisions impact grant spending. The Department submits a pre-expenditure report to the US Department of Health and Human Services. (See 42 USC 1397c.) SSBG funds are awarded to the State based on population allocation. The award is impacted by Federal action, such as sequestration. Funds are to be used as allowed by the grant. For the Federal site regarding Social Services Block Grant, see http://www.acf.hhs.gov/ocs/programs/ssbg For the Department site involving block grant public notice information, see http://hsofo.utah.gov/public-notice .	
Substance Abuse - Prevention and Treatment	SAPT block grant funds may be used at a state's discretion to achieve state objectives except for certain requirements including: 1) not less than 20 percent of the funds can be spent for education, counseling, and risk-reduction programs for individuals who do not require treatment for substance abuse, 2) not less than 5 percent of the grant can be spent to increase (relative to fiscal year 1994) the availability of treatment services designed for pregnant women and women with dependent children, and 3)	DSAMH applies for the Substance Abuse and Mental Health block grants in a combined application now using the online portal WebGAS and the grant is set aside for States (it is not a competitive bid or a discretionary grant). Costs charged to the grant must be allowable. Spending decisions are determined by need, plan, and available resources. These block grants are noncompetitive, formula grants mandated by the U.S. Congress. Eligible entities must submit an annual application to demonstrate statutory and regulatory compliance in order to receive the formula-based funding. Hundreds of work hours by DSAMH staff, the Planning Council and others go into creating and reviewing the support and plans that are submitted to Substance Abuse and Mental Health Services Administration (SAMHSA) electronically. SAMHSA responds to our request with questions and suggestions, and there's a back and forth with our SAMHSA contact until the final version	Spending plans have remained relatively constant with some variation based on a number of factors including the size of the grants, increases or decreases in state general fund and timing differences. The changes you may notice from year to year are more of a product of Federal Fiscal years not lining up with the State Fiscal Year. This creates timing differences where expenditures can be pulled or pushed to adjoining state fiscal years creating an impression of differences. No discretionary spending was listed because the grant specifies where the money must be spent once approved; there is no discretion in the areas in which it's spent or deviating from the plan

	states will provide, directly or through arrangements with other public or nonprofit entities, tuberculosis services for substance abusers, and those individuals at risk for the human immunodeficiency virus (HIV) disease.	is approved by SAMHSA. This process is governed by the Federal Government and cannot be changed.	unless prior written approval is obtained from SAMHSA. Furthermore there are restrictions on spending of the Block Grants.
Mental Health Block Grant	This grant assists states in carrying out their plans for providing comprehensive community mental health services. The CMHS block grant is also used to monitor the progress in implementing a comprehensive community based mental health system, and provide technical assistance to aid states in planning and implementing a comprehensive community based mental health system. A minimum of 5% of the block grant amount must be used for early intervention services for individuals with SMI or SED. Annual costs for services to children with a serious emotional disturbance must equal or exceed the amount spent during State Fiscal Year 1994.	DSAMH applies for the Substance Abuse and Mental Health block grants in a combined application now using the online portal WebGAS and the grant is set aside for States (it is not a competitive bid or a discretionary grant). Costs charged to the grant must be allowable. Spending decisions are determined by need, plan, and available resources. These block grants are noncompetitive, formula grants mandated by the U.S. Congress. Eligible entities must submit an annual application to demonstrate statutory and regulatory compliance in order to receive the formula-based funding. Hundreds of work hours by DSAMH staff, the Planning Council and others go into creating and reviewing the support and plans that are submitted to Substance Abuse and Mental Health Services Administration (SAMHSA) electronically. SAMHSA responds to our request with questions and suggestions, and there's a back and forth with our SAMHSA contact until the final version is approved by SAMHSA. This process is governed by the Federal Government and cannot be changed.	Spending plans have remained relatively constant with some variation based on a number of factors including the size of the grants, increases or decreases in state general fund and timing differences. The changes you may notice from year to year are more of a product of Federal Fiscal years not lining up with the State Fiscal Year. This creates timing differences where expenditures can be pulled or pushed to adjoining state fiscal years creating an impression of differences. No discretionary spending was listed because the grant specifies where the money must be spent once approved; there is no discretion in the areas in which it's spent or deviating from the plan unless prior written approval is obtained from SAMHSA. Furthermore there are restrictions on spending of the Block Grants.

INTERIM APPROVAL PROCESS FOR FEDERAL GRANTS

Per UCA 63J-5-204, if agencies receive grants after the General Session approval process, these grants may be approved according to the following criteria:

Grants Subject to Approval by the Governor, the Judicial Council, or the State Board of Education

1. Less than \$1 million;
2. Add no permanent employees; or
3. Require a state match less than \$1 million.

Grants Subject to Approval by the Executive Appropriations Committee

4. More than \$1 million but less than \$10 million;
5. Add 1 to 10 permanent employees; or
6. Require a state match up to \$1 million.

Grants Subject to Approval by the full Legislature

1. More than \$10 million;
2. Add 11 or more permanent employees; or
3. Require a state match greater than \$1 million.